



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gallucci et al.)
Serial No.: 10/638,099) Group Art Unit: 1711
Filing Date: 08/07/2003) Examiner: Tran, Thao T.
For: REFLECTIVE ARTICLE AND METHOD)
FOR THE PREPARATION THEREOF)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

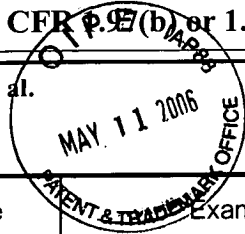
By: J. Michael Buchanan
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RD27416-2

In Re Application Of: Gallucci et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/638,099	8/7/2003	Tran, Thao T.	23413	1711	3376

Title: **REFLECTIVE ARTICLE AND METHOD FOR THE PREPARATION THEREOF**

Address to:
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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
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10/638,099	8/7/2003	Tran, Thao T.	23413	1711	3376

Title: **REFLECTIVE ARTICLE AND METHOD FOR THE PREPARATION THEREOF**



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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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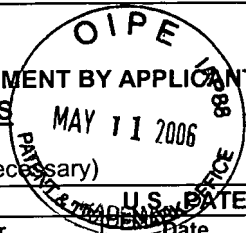
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PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS (Use several sheets if necessary)				Attorney's Docket Number RD27416-2		Serial Number 10/638,099	
				Name of Applicant Gallucci et al.			
				Filing Date 08/07/2003		Group Art 1711	

U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate
	4754128	6/28/1988	Takeda et al.			
	4942073	6/17/1990	Era et al.			
	5118573	6/2/1992	Tawara et al.			
	5297132	3/22/1994	Takano et al.			
	5330852	7/19/1994	Gerstenberg et al.			
	5512416	4/30/1996	Namba et al.			
	5525379	6/11/1996	Takada et al.			
	6183829	2/6/2001	Daecher et al.			

U.S. PATENT APPLICATION PUBLICATIONS						
Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate
	2002/0025443	2/28/2002	Ohno			

FOREIGN PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
	2099852	07-1993	Canada			X

OTHER INFORMATION (including author, title, date, pertinent)	
	Y.Barrell et al., "Expanding Thermal Plasma for Fast Deposition of Scratch-Resistant SiCxHyOz Films", Surface and Coatings Technology 180-181 (2004) 367-371
	M.F.A.M. van Hest doctoral thesis, "High Rate Deposition of Silicone Oxide Like Films" pages 95-108, 133-154, 167-169 (2002)
	10/638,145 Non-Final Office Action dated 10/07/2005 (18 pages)
	10/638,145 Non-Final Office Action dated 03/21/2006 (12 pages)
	JP03-248337; 11-1991; Abstract Only (2 pages)
	JP01-232553; 09-1989; Abstract Only (2 pages)
	JP04-177632; 06-1992; Abstract Only (1 page)
	JP02-312020; 12-1990; Abstract Only (2 pages)
	JP60-203489; 10-1985; Abstract Only (1 page)
	JP63-108540; 05-1988; Abstract Only (2 pages)
	JP63-201929; 08-1988; Abstract Only (2 pages)

EXAMINER	DATE CONSIDERED
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* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.